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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

#9/105  
4/29/03  
C.P.i

In re application of: Yoo-Sang HWANG and Su-Jin AHN

Serial No. 09/955,388

Examiner: Anh D. Mai

Confirmation No. 9987

Filed: September 17, 2001

Group Art Unit: 2814

For: SEMICONDUCTOR DEVICE HAVING CONTACT PLUG AND METHOD FOR  
MANUFACTURING THE SAME

Box DD  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with  
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)  
may be material to the examination of this application and for which there may be a duty to disclose in accordance  
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A  
duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom  
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613